

Investigator Requirements

Effective August 24, 2012

ALL UA INVESTIGATORS (see policy definition of investigator –*note: not related to faculty status*):

<http://ocr.arizona.edu/oi/apol/investigator#definitions>

Required Training: Initial training by January 1, 2013, and once every 4 years. <http://ocr.arizona.edu/oi/training>

Required financial disclosure of SFI (see policy definition of significant financial interests):

<http://ocr.arizona.edu/oi/forms>

- Initial annual disclosure by January 1, 2013; and
- Within 30 days of acquiring SFI not previously disclosed; and
- As may be required by IRB.

UA INVESTIGATORS FUNDED BY PHS on or after 8/24/12 (INCL NIH, excluding Phase I SBIR,STTR)

Required training: Initial training by January 1, 2013 or before new or continued access to PHS funds, whichever is earlier, and once every four years.

Required financial disclosure of SFI: As for all UA investigators; and

- At least 45 days before access to new award funds or by 9/15/2012, whichever is later; and
- At least 45 days before joining an ongoing project or by 9/15/2012, whichever is later; and
- At least 105 days before the start of the new budget period or 9/15/2012, whichever is later;
- SFI includes certain travel sponsorship/reimbursements

Extra procedures/penalties for late disclosures of Financial Conflict of Interest (FCOI –see policy definition): bias assessment review and report to PHS/NIH

SENIOR/KEY PERSONNEL (in the PHS-funded subset) Financial Conflict of Interest (FCOI –see policy definition) information accessible by request to UA from the public (UA must provide response to requests for this information)